



Licensing Class Schedule

2024 Fourth Quarter



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SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	October 7 & 8	2-Days	M/T	9:00 a.m.-5:00 p.m.
SIE	November 4 & 5	2-Days	W/TH	9:00 a.m.-5:00 p.m.
SIE	December 2 & 3	2-Days	M/T	9:00 a.m.-5:00 p.m.
SIE	October 28 & 29	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	November 25 & 26	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	December 16 & 17	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	Oct. 1, 3, 8, 10, 15, 17, 22, 24	4-Week	T/TH	12:00 p.m.-2:00 p.m.
SIE	Oct. 28, 30, Nov. 4, 6, 11, 13, 18, 20	4-Week	M/W	12:00 p.m.-2:00 p.m.
SIE	Nov. 25, 26, Dec. 2, 3, 9, 10, 16, 17	4-Week	M/T	12:00 p.m.-2:00 p.m.
SIE	Oct. 7, 9, 14, 16, 21, 23, 28, 30	4-Week	M/W	5:00 p.m.-7:00 p.m.
SIE	Nov. 4, 5, 11, 12, 18, 19, 25, 26	4-Week	M/T	5:00 p.m.-7:00 p.m.
SIE	October 14, 16, 21, 23	2-Week	M/W	1:00 p.m.-5:00 p.m.
SIE	December 2, 4, 9, 11	2-Week	M/W	1:00 p.m.-5:00 p.m.
SIE	November 12, 14, 19, 21	2-Week	T/TH	5:00 p.m.-9:00 p.m.

Series 7

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7	October 1, 2, 3	3-Days	T-TH	9:00 a.m.-5:00 p.m.
Series 7	November 4, 5, 6	3-Days	M-W	9:00 a.m.-5:00 p.m.
Series 7	December 9, 10, 11	3-Days	M-W	9:00 a.m.-5:00 p.m.
Series 7	October 21, 22, 23	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	November 18, 19, 20	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	December 16, 17, 18	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	Nov. 11, 12, 13, 18, 19, 20	2-Week	M-W	1:00 p.m.-5:00 p.m.
Series 7	Oct. 7, 9, 14, 16, 21, 23, 28, 30	4-Week	M/W	5:30 p.m.-8:30 p.m.
Series 7	Nov. 25, *26, Dec. 2, 4, 9, 11, 16, 18	4-Week	M/W	5:30 p.m.-8:30 p.m.

Series 7 Options, Suitability & Product Selection

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7 OSP	October 12	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	November 9	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	December 14	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	October 24	1-Day	TH	1:00 p.m.-5:00 p.m.
Series 7 OSP	November 21	1-Day	TH	1:00 p.m.-5:00 p.m.
Series 7 OSP	December 19	1-Day	TH	1:00 p.m.-5:00 p.m.

Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 9	October 9	1-Day	W	9:00 a.m.-4:30 p.m.
Series 9	November 13	1-Day	W	9:00 a.m.-4:30 p.m.
Series 9	December 4	1-Day	W	9:00 a.m.-4:30 p.m.
Series 10	October 15 & 16	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 10	November 20 & 21	2-Days	W/TH	9:00 a.m.-5:00 p.m.
Series 10	December 16 & 17	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 24	October 15 & 16	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	November 12 & 13	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	December 10 & 11	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	October 28 & 29	2-Days	M/T	10:00 a.m.-6:00 p.m.
Series 24	November 12, 14, 19, 21	4-Days	T/TH	1:00 p.m.-5:00 p.m.
Series 27	October 17	1-Day	TH	9:30 a.m.-5:00 p.m.
Series 27	November 14	1-Day	TH	9:30 a.m.-5:00 p.m.
Series 27	December 19	1-Day	TH	9:30 a.m.-5:00 p.m.

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 3	October 2 & 3	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 3	November 6 & 7	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 3	December 4 & 5	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 6	October 30	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	November 13	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	December 18	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	October 2 & 3	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	October 16 & 17	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	November 6 & 7	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	November 18 & 19	2-Days	M/T	1:00 p.m.-5:00 p.m.
Series 6	December 4 & 5	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	December 18 & 19	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 57	October 8 & 9	2-Days	T/W	1:00 p.m.-5:00 p.m.
Series 57	December 2 & 3	2-Days	M/T	1:00 p.m.-5:00 p.m.
Series 63	October 11	1-Day	F	9:00 a.m.-1:00 p.m.
Series 63	December 13	1-Day	F	9:00 a.m.-1:00 p.m.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 63	October 25	1-Day	F	1:00 p.m.-5:00 p.m.
Series 63	November 14	1-Day	TH	1:00 p.m.-5:00 p.m.
Series 63	November 22	1-Day	F	1:00 p.m.-5:00 p.m.
Series 63	December 20	1-Day	F	1:00 p.m.-5:00 p.m.
Series 65	October 8, 10, 15, 17	2-Week	T/TH	1:00 p.m.-4:30 p.m.
Series 65	December 9, 11, 16, 18	2-Week	M/W	1:00 p.m.-4:30 p.m.
Series 66	October 15 & 16	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 66	November 12 & 13	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 66	December 17 & 18	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 66	October 7, 8, 9, 10	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 66	November 4, 5, 6, 7	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 66	December 2, 3, 4, 5	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 79	October 21 & 22	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 79	November 25 & 26	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 86	October 21 & 23	2-Days	M/W	4:30 p.m.-7:30 p.m.
Series 86	December 16 & 18	2-Days	M/W	4:30 p.m.-7:30 p.m.
Series 87	October 17	1-Day	TH	4:30 p.m.-8:30 p.m.
Series 87	December 5	1-Day	TH	4:30 p.m.-8:30 p.m.
Series 99	November 14	1-Day	TH	9:30 a.m.-5:30 p.m.